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Felzenszwalb, Pedro F., and Daniel P. Huttenlocher. "Efficient graph-based image segmentation." *International Journal of Computer Vision* 59.2 (2004): 167-181.

# Rough Outline

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1. Motivation / Problem Definition

2. Contributions of the paper

- 'Evidence of boundary' predicate
- Definitions of "Too fine" and "Too Coarse" partitions
- Greedy algorithm
- Proof of why algo gives a 'not too fine' and 'not too coarse' segmentation

3. Implementation & Running time

4. Grid graphs (images) and Nearest neighbor graphs

# Introduction to the Problem

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# Definition of a Segmentation

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Partitioning an image into sets of pixels

Image  $I$  as a set of pixels  $I = \{p_i\}$

Segmentation  $S$  is a partition of  $I$   $S = \{C_i\}$

such that,  $C_i \cap C_j = \phi, i, j = 1 \dots |S|, i \neq j$

- And  $\bigcup_{i=1}^{|S|} C_i = I$

Image segmentation is the process of assigning a label to every pixel in an image such that pixels with the same label share **certain perceptually similar** characteristics.

# Motivation

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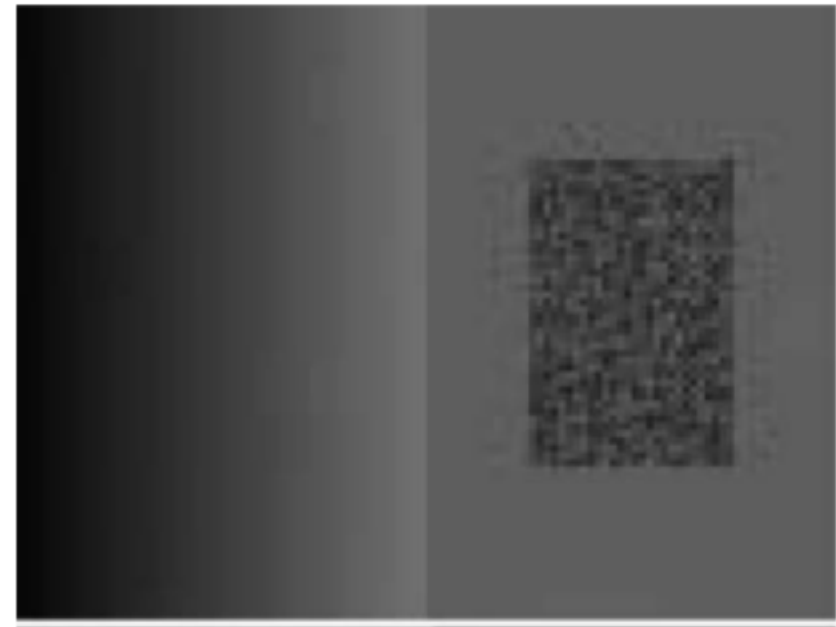
Proposed algorithm must capture perceptual important image properties

3 perceptual regions

- Intensity ramp (left part)
- Constant intensity
- High variability region

Note : Varying intensity should not alone be judged as evidence for multiple regions

Note : 3 different regions cannot be obtained using purely local decision criteria



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Intuitively, intensity difference across the boundary of the regions are perceptually important if they are large relative to intensity differences inside the region.

Evidence of boundary :

- Intensity difference across the boundary ( External Difference)
- Intensity difference between pixel within a region ( Internal Difference )

# Region Comparison Predicate

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# Generic Graph-based Segmentation

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$G$  : Undirected graph, with  $G = (V, E)$   
 $V$  : set of elements to be segmented  $v_i \in V$   
 $E$  : set of edges. Measure of dis-similarity between neighboring elements.

$$(v_i, v_j) \in E \quad w((v_i, v_j))$$

Segmentation : A sub-graph induced by subset of edges

Segmentation  $S = \{C_i\}$ ,  $C_i$  is a connected sub-graph of  $G' = (V, E')$  **induced by subset of edges of  $G$**   $E' \subseteq E$  . *Give an example*

Problem : To find a subset which satisfies some perceptually important properties

# Related Definitions

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Definitions:

1. Internal difference of a component :

- Largest weight of the minimum spanning tree of the component

$$Int(C) = \max_{e \in MST(C,E)} w(e)$$

- Intuition, C remains connected when edges of weight at most  $Int(C)$  are considered
- This definition provides an efficient way to construct components and is helpful in the proof (coming later)

2. External difference between 2 components

- Minimum weight edge between them.

$$Dif(C_1, C_2) = \min_{v_i \in C_1, v_j \in C_2, (v_i, v_j) \in E} w((v_i, v_j))$$

- If there is no edge between a pair of component ext-diff = infinity

# Pairwise Region Comparison Predicate

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Predicate D : Evidence for a boundary between a pair of components C1, C2


$$D(C_1, C_2) = \begin{cases} \text{true} & \text{if } Dif(C_1, C_2) > MInt(C_1, C_2) \\ \text{false} & \text{otherwise} \end{cases} \quad (3)$$

External Difference



$$MInt(C_1, C_2) = \min(Int(C_1) + \tau(C_1), Int(C_2) + \tau(C_2)). \quad (4)$$

Internal Difference



Note :  $\tau$  controls the degree to which the difference between the 2 must be greater than Int diff.  $\tau = \frac{k}{|C|}$

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D is true if external difference between 2 components is relatively larger than min-components.

$$D(C_1, C_2) = \begin{cases} \text{true} & \text{if } Dif(C_1, C_2) > MInt(C_1, C_2) \\ \text{false} & \text{otherwise} \end{cases} \quad (3)$$

$$\begin{aligned} MInt(C_1, C_2) \\ = \min(Int(C_1) + \tau(C_1), Int(C_2) + \tau(C_2)). \end{aligned} \quad (4)$$

If there is no edge between a pair of component ext-diff = infinity

# More explanation about $\tau$

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D is true if ext-diff is larger than int-diff by at least  $\tau$ .

Case 1 :  $Int(C_1) + \tau(C_1) < Int(C_2) + \tau(C_2)$

Case 2 :  $Int(C_1) + \tau(C_1) \geq Int(C_2) + \tau(C_2)$

For smaller components,  $Int(C_1)$  is not a good estimate of the internal characteristics of a component.

Thus, we require a stronger evidence for a boundary for smaller components. So  $\tau$  is inversely proportional to size of components.

# Definition of 'too fine' & 'too coarse'

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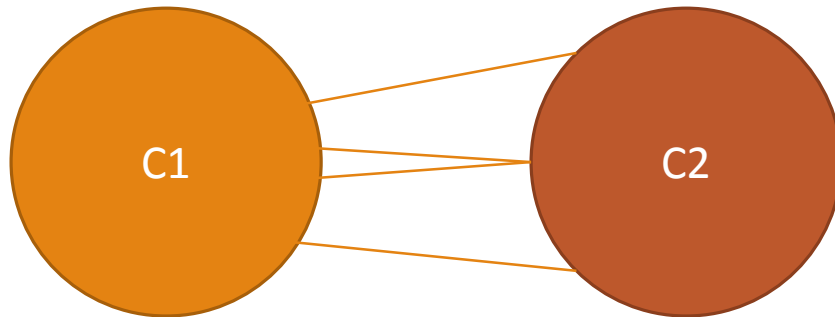
Ultimate goal is to find a segmentation that is not 'too fine' and not 'too coarse'

# Segmentation is 'too fine'

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A segmentation is *too fine* if there is some pair in regions for which D is false

$$\exists C_i, C_j \in S, i \neq j, D(C_i, C_j) = \text{false}$$



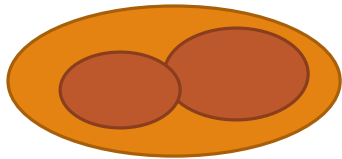
Not too fine  $\rightarrow$  D is true for every pair of components

$$\forall C_i, C_j \in S, D(C_i, C_j) = \text{true}$$

# Segmentation is 'too coarse'

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1. S and T be 2 segmentations of the same base set.
2. T is a refinement of S when each component of T is fully contained in some component of S
3. When T is refinement of S, it is called, "T is finer than S" or "S is coarser than T"



A segmentation S is too coarse when there exists a refinement that is not too fine

ie. There is an evidence for boundary (D=true) within a component, than the segmentation has too few regions.

# Proof of :

For any  $G$ , there exists some segmentation,  $S$ , that is neither too fine, nor too coarse

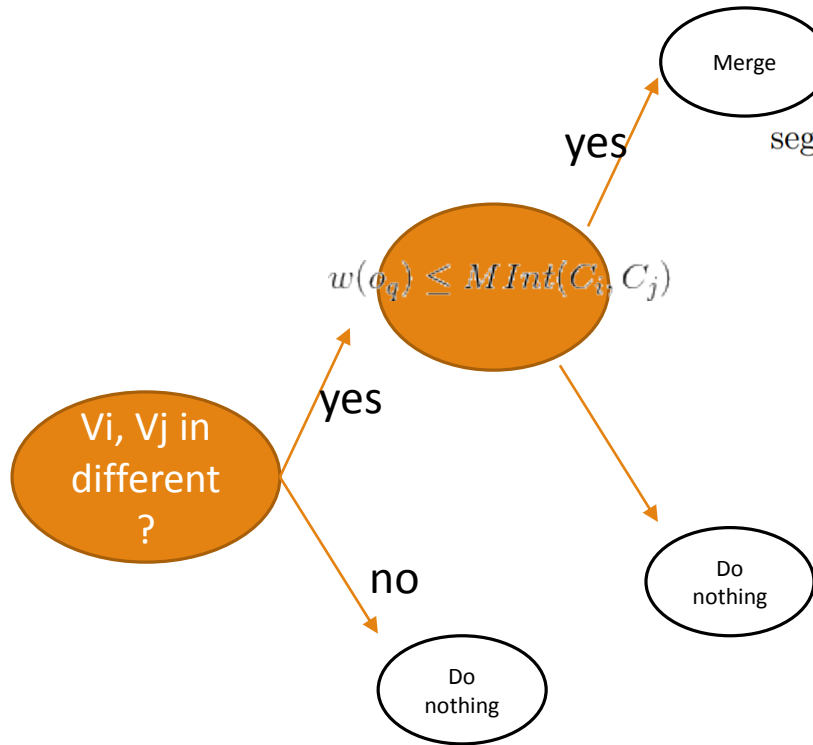
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1. Consider a segmentation,  $S$ , where all elements are in only 1 component. Thus  $S$  is not too fine
2. If  $S$  is also not too coarse then we are done
3. If  $S$  is too coarse, there exist a refinement of  $S$ , that is not too fine.
4. Repeat this argument with the refinements of  $S$ .

# Algorithm

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# Algorithm – Pseudo Code



The input is a graph  $G = (V, E)$ , with  $n$  vertices and  $m$  edges. The output is a segmentation of  $V$  into components  $S = (C_1, \dots, C_r)$ .

0. Sort  $E$  into  $\pi = (o_1, \dots, o_m)$ , by non-decreasing edge weight.
1. Start with a segmentation  $S^0$ , where each vertex  $v_i$  is in its own component.
2. Repeat step 3 for  $q = 1, \dots, m$ .
3. Construct  $S^q$  given  $S^{q-1}$  as follows. Let  $v_i$  and  $v_j$  denote the vertices connected by the  $q$ -th edge in the ordering, i.e.,  $o_q = (v_i, v_j)$ . If  $v_i$  and  $v_j$  are in disjoint components of  $S^{q-1}$  and  $w(o_q)$  is small compared to the internal difference of both those components, then merge the two components otherwise do nothing. More formally, let  $C_i^{q-1}$  be the component of  $S^{q-1}$  containing  $v_i$  and  $C_j^{q-1}$  the component containing  $v_j$ . If  $C_i^{q-1} \neq C_j^{q-1}$  and  $w(o_q) \leq MInt(C_i^{q-1}, C_j^{q-1})$  then  $S^q$  is obtained from  $S^{q-1}$  by merging  $C_i^{q-1}$  and  $C_j^{q-1}$ . Otherwise  $S^q = S^{q-1}$ .
4. Return  $S = S^m$ .



# To Prove

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1. Algorithm gives a segmentation that is
  - neither too fine
  - nor too coarse
2. Any possible non-decreasing weight ordering will produce same segmentation

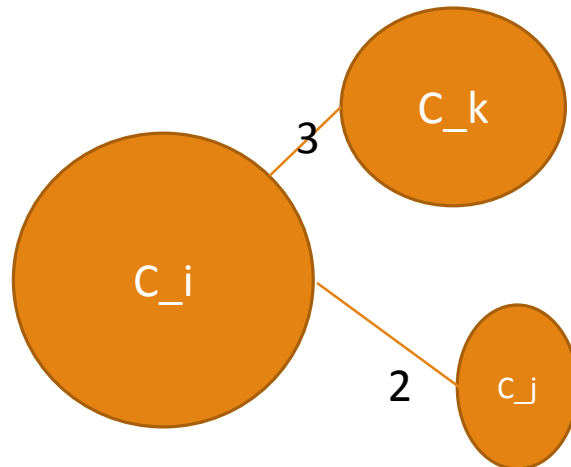
# “if 2 components are not merged, then one of them will be in final segmentation”

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There are 2 cases in which merge do not happen --

Case 1:  $w(o_q) > Int(C_i^{q-1}) + \tau(C_i^{q-1})$

Since edges are considered in ascending order  $w(o_k) > w(o_q)$  (where  $k > q$ ). Thus no additional merge shall happen to this components.



NOTE: Edge causing the merge of 2 components is exactly the min weight edge between the component

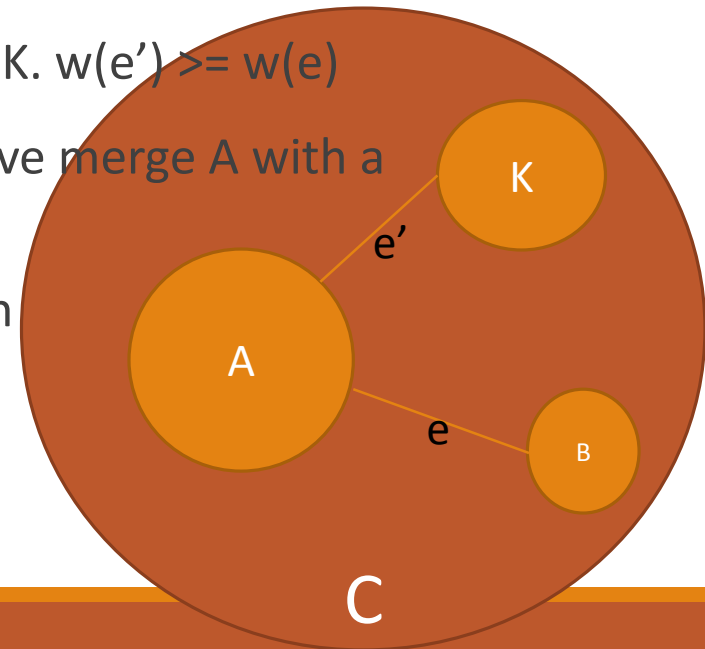
# Segmentation $S$ by the algorithm is not 'too fine'

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1. From previous property, if  $C_i$  and  $C_j$  are different component, there is an edge (which was considered) and did not cause a merge
2. Since it didn't cause a merge  $w(o_q) > \text{Mint}(C_i, C_j)$ . Note that  $o_q$  is the minimum edge between the components, ie. Ext-diff
3. This implies  $D$  is true.
4. If  $D$  is true for every pair of components, implies that the segmentation is not too fine.

# Segmentation S by the algorithm is not 'too coarse'

1. Let S (segmentation produced by algo) be 'too coarse'.
2. Thus, for a component C, there exists a refinement which is not 'too fine'.  $A \text{---}(e)\text{---}B$
3.  $W(e) > \text{Mint}(A,B)$
4. Without loss of generality, assume,  $w(e) > \text{Int}(A) + \tau(A) \rightarrow w(e) > \max(\text{MST}(A))$
5. Let K be some other sub-component of C and  $e'$  be the edge from A to K.  $w(e') \geq w(e)$
6. The algorithm must have formed A first before forming C. And must have merge A with a sub-component of C.
7. This is not possible since,  $w(e') > \text{Int}(A) + \tau(A)$ . This is a contradiction



# Segmentation produced does not depend on the non-decreasing weight order of the edges

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**Swapping** the order of 2 adjacent edges of 'same weight in non-decreasing order' **does not change** the result of segmentation

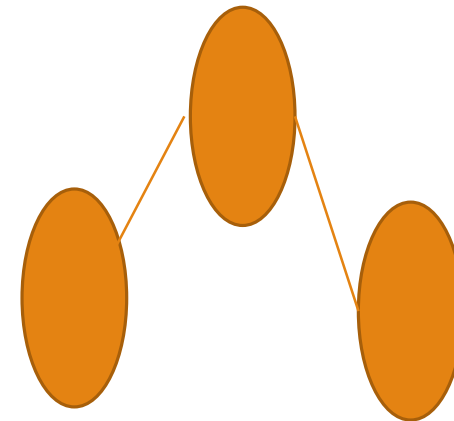
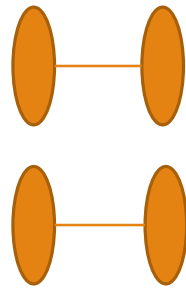
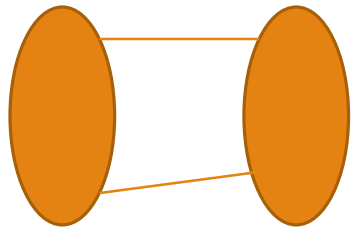
$E_1, e_2$  be 2 edges of same weight in 'non-decreasing order'

$O_1, o_2, o_3 \dots e_1, e_2, \dots o_m$

$O_1, o_2, o_3 \dots e_2, e_1 \dots o_m$

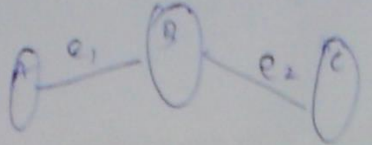
Produce the same segmentation

**3 cases:**



To prove: if  $e_1$  has to cause a merge it will cause the merge, no matter the ordering is  $e_1, e_2$  or  $e_2, e_1$

To prove: if  $e_1$  has to not cause a merge, it will not cause a merge, no matter the ordering is  $e_1, e_2$  or  $e_2, e_1$



① Case:  $e_1$  will cause merge

$e_1; e_2$   
 $\Rightarrow w(e_1) < \min(\text{Int}(A) + \tau_A, \text{Int}(B) + \tau_B)$

$e_2; e_1$

- No merge by  $e_2 \Rightarrow e_1$  will merge since nothing has changed
- Merge by  $e_2$   
 $\Rightarrow \text{Int}(BUC) = w(e_2) = w(e_1)$

$\min(\text{Int}(A) + \tau_A, \text{Int}(BUC) + \tau_B)$   
 $= \min(\text{Int}(A) + \tau_A, w(e_2) + \tau_B)$   
 $> w(e_1) \Rightarrow e_1$  will merge

② Case:  $e_1$  will not cause merge

$e_1; e_2$   
 $\Rightarrow w(e_1) > \min(\text{Int}(A) + \tau_A, \text{Int}(B) + \tau_B)$

$e_2; e_1$  Sub-case:  $\text{Int}(A) + \tau_A$  was smaller of 2  
 $\therefore w(e_1) > \text{Int}(A) + \tau_A$   
even if  $e_2$  causes merge A doesn't change  
 $\hookrightarrow \text{Int}(B)$  will get only larger

Sub-case:  $\text{Int}(B) + \tau_B$  was smaller of 2  
 $w(e_1) > \text{Int}(B) + \tau_B$   
 $e_2$  can never cause a merge:  $w(e_1) = w(e_2)$

$= \text{Int}(B)$

# Implementation Issues

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The segmentation can be represented in computer memory using 'dis-joint set forest'

Analysis of running time of algo

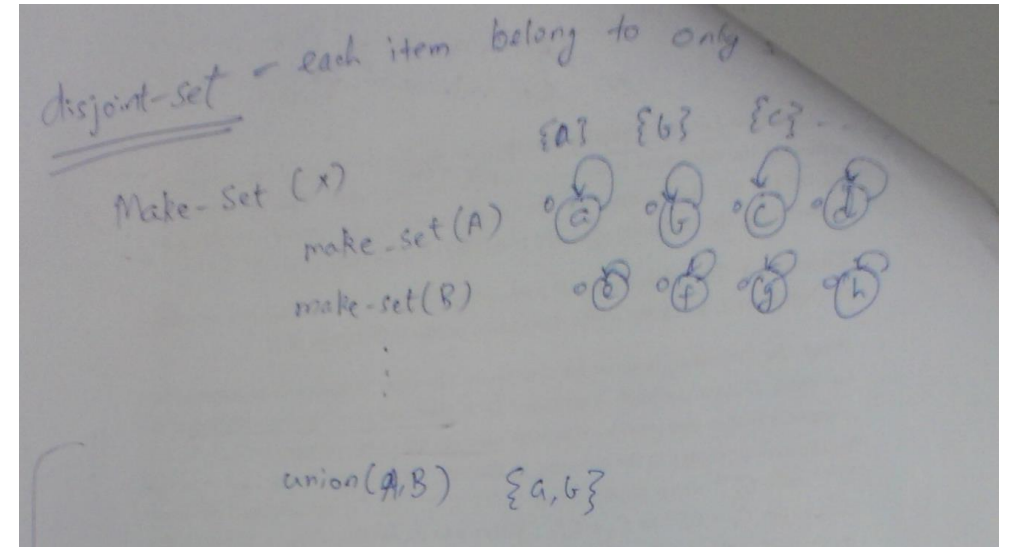
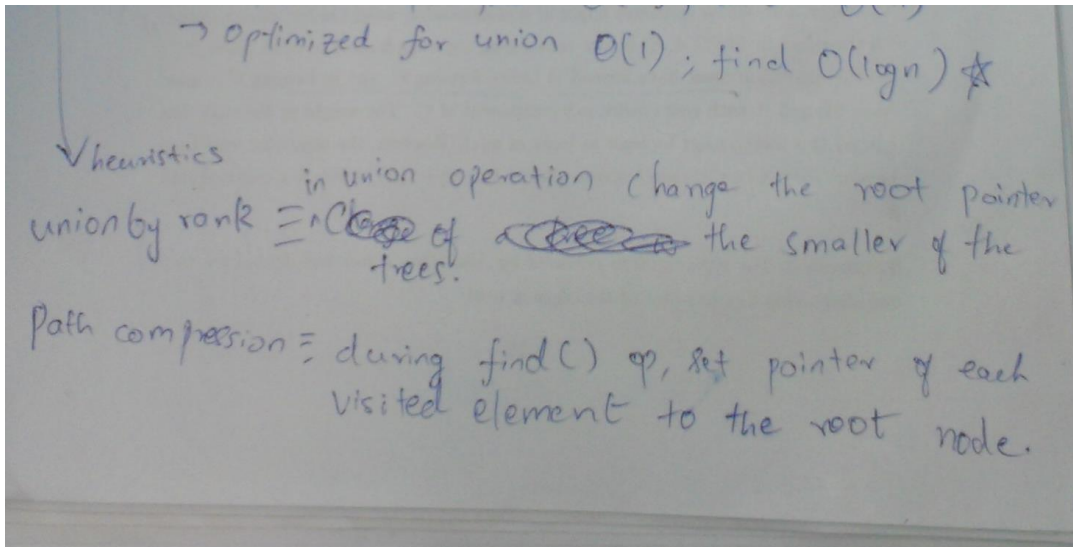
Optimized with heuristics of 'Union by rank' and 'path compression'

# Dis-joint set

“A data structure that keeps track of a set of elements partitioned into non-overlapping sets”

Operations :

- Makeset( v1, v2, .... Vn )  $\rightarrow$  init
- Find()
- Union()



# Pseudo Code

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1.  $\{PI\} = \{o_1, o_2, \dots, o_n\}$  : Sort edges
2.  $M = \text{Make-set}(v_1, v_2, \dots, v_m)$  : set each vertex in different set
3. For each  $\{PI\}$ 
  - i.  $V_x = o_i.v_x ; V_y = o_i.v_y$
  - ii.  $a = \text{Find-set}(V_x, M)$
  - iii.  $b = \text{Find-set}(V_y, M)$
  - iv. Compare  $a, b$ . if equal  $\rightarrow$  both vertices in same set, thus goto 3. else v.
  - v.  $\text{Int-}v_x = \text{MST}(a)$
  - vi.  $\text{Int-}v_y = \text{MST}(b)$
  - vii. If  $w(o_i) < \text{Mint}(v_x, v_y)$  then  $\text{set-union}(v_x, v_y)$
4. The resultant set represents a segmentation of the vertices

# Derive the running time of algo

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( time to sort  $m$  edges ) + (  $m * T\{\text{find-set}()\}$  ) + (  $m * T\{\text{MST}\}$  ) + (  $m * T\{\text{union-set}\}$  )

=  $O( m \log m ) + O( m * \log n ) + O( m ) + O(m)$

=  $O( m \log m ) + O( m \log n )$

=  $O( m \log mn )$

=  $O( n \log n ) \rightarrow$  almost linear time in # of vertices

# Results for Grid Graph

Graph constructed based on spatial neighbors

$$W(v_i, v_j) = |I(p_i) - I(p_j)|$$

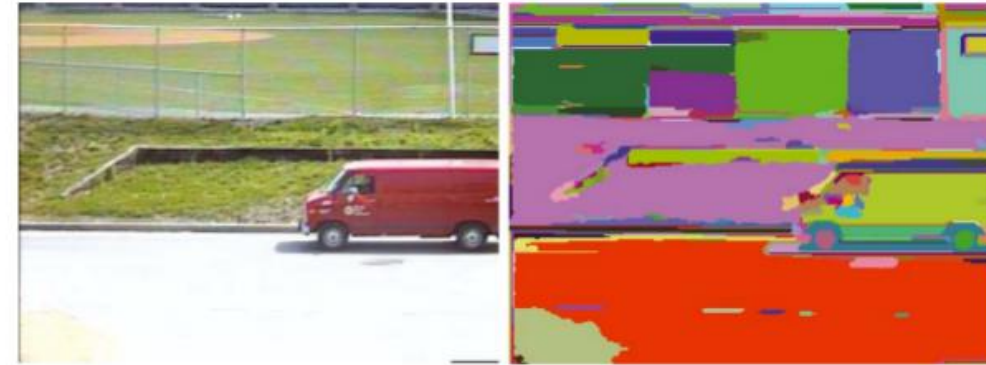


Figure 2. A street scene (320 × 240 color image), and the segmentation results produced by our algorithm ( $\sigma = 0.8, k = 300$ ).



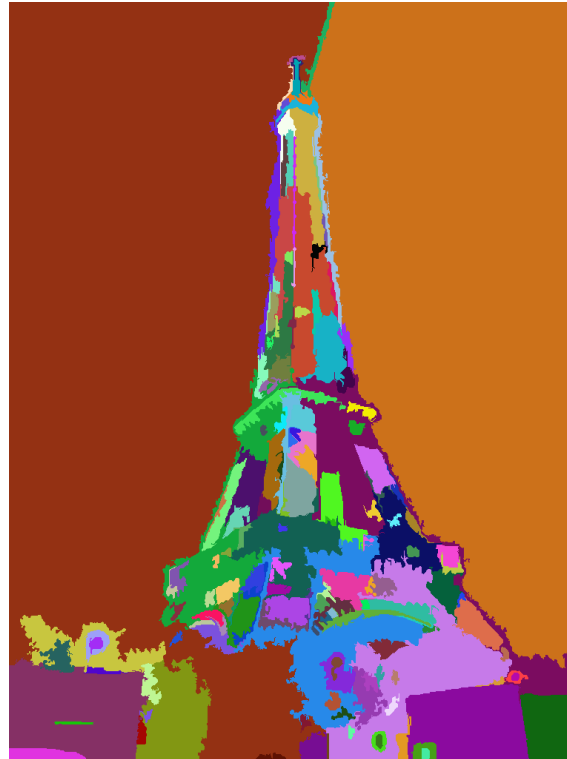
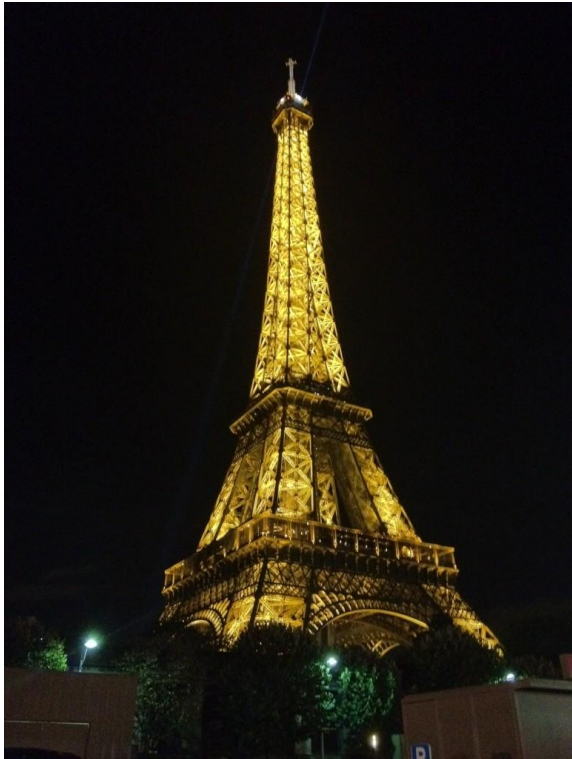
Figure 3. A baseball scene (432 × 294 grey image), and the segmentation results produced by our algorithm ( $\sigma = 0.8, k = 300$ ).



Figure 4. An indoor scene (image 320 × 240, color), and the segmentation results produced by our algorithm ( $\sigma = 0.8, k = 300$ ).

# Failure case – Grid Graphs

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# Results for Nearest Neighbor Graph

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Mapping each pixel to a point in some feature space

Connect edges between nearby vertices in the feature space

Alternately, connect all vertices which are at distance less than  $d$  (in the feature space)

Experiments :  $(x,y,r,g,b)$  as feature vector. L2 norm as distance measure.

Motivation : Eiffel tower – night image



Figure 8. Segmentation using the nearest neighbor graph can capture spatially non-local regions ( $\sigma = 0.8$ ,  $k = 300$ ).

# Results generated by me

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Parameters:

Sigma=0.5 ; k=500 ; compo\_size\_thresh =50

# Appendix – I (Proof of Kruskal's Algo)

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Lemma : If an edge  $(u,v)$  is min wt edge across a partition of vertices, then  $(u,v)$  is in MST

Proof of Kruskal's

Part - 1 : Prove that algo produces a tree

Since it collects the edges whose vertices are not in same set  $\rightarrow$  forms a forest/tree

Part – 2 : Tree produced is minimum

Algo selects the first weight between 2 different trees  $\rightarrow$  MST, since it considers edges in ascending order and the first edge encountered between trees is the minimum edge

# Appendix – II (# of comparisons for a find-set() is $\leq \log(n)$ )

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1. (10pts, 1 page)

Prove that every node has rank at most  $\lfloor \lg n \rfloor$  in union-Find algorithm with two heuristics (1) union by rank and (2) path compression.

**Sol:**

By Induction:

**Claim:**

A node with rank  $r$ , then it is the root of a subtree of size at least  $2^r$ .

**Base case:**

A node of rank 0 is the root of a subtree that contains at least itself (and so is of size  $\geq$  at least 1).

**Inductive case:**

A node  $X$  can have rank  $(r + 1)$  only if, at some previous stage, it had a rank  $r$  and it was the root of a tree that was joined with another tree whose root had rank  $r$ . Then  $X$  became the root of the union of the two trees. Each tree, by inductive hypothesis is of size at least  $2^r$ , and so now  $X$  is the root of a tree of size at least  $2^r + 2^r = 2^{(r+1)}$ .

Now the number of nodes in the forest is  $n$  and we have at least  $2^r$  nodes in every tree with rank  $r$ . So,

$$n \geq 2^r \Rightarrow r \leq \lfloor \lg n \rfloor$$